

PTO/SB/08A (08-00)

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Examiner

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Application Number	10/829,044
Filing Date	April 21, 2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

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Examiner Initials	Cite No.1	Number	Kind	Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
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				FORE	IGN PATENT DOCUMENTS		
		Foreign	Patent Document		Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, where
Examiner Initials	Cite No.¹	Office ³	Number ⁴ Kind	Code ⁵	Applicant of Cited Document	mm-dd-yyyy	relevant passages To or relevant figures appear
CTN		EP	1 588 726	A1	Cordis Corporation	10-26-2005	
CIN		EP	1 588 727	A1	Cordis Corporation	10-26-2005	
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U.S. PATENT DOCUMENTS U.S. Patent Document Date of Publication Pages, Columns, Lines, Name of Patentee or Applicant Cite Kind Code Examine of Cited Document where relevant passages or of Cited Document Initials Number (if known) relevant figures appear No. mm-dd-yyyy 5,981,568 Kunz et al. 11-09-1999 CIN

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or Examiner Cite passages or relevant mm-dd-yyyy T⁶ Applicant of Cited Document figures appear Number⁴ KindCode5 Office³ No.1 EP 0950386 **B**1 Cordis Corporation 10-20-1999 WO 98/36784 Cook Incorporated 08-27-1998

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 19

pono to a collection of information unless it di	splays a valid UMB control number.
Application Number	10/829,044
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Attorney Docket Number	CRD0933CIP

U.S. PATENT DOCUMENTS U.S. Patent Document Date of Publication Pages, Columns, Lines. Name of Patentee or Applicant Kind Code² Examiner Cite of Cited Document where relevant passages or of Cited Document Initials Number (if known) relevant figures appear No.1 mm-dd-yyyy D359,802 6/27/1995 CTN **Fontaine** Roorda (PATENT APP. 2001/0007083 A1 7/05/2001 PUBLICATION) 2001/0029660 A1 10/18/2001 Johnson, Michael W. 2001/0032014 **A1** Yang, Dachuan et al. 10/18/2001 2001/0034363 A1 Li, Chun et al. 10/25/2001 2001/0037145 A1 Guruwaiya, Judy A. et al. 11/01/2001 11/20/2003 2003/216699 A1 Falotico 3,657,744 4/25/1972 Ersek 3,932,627 1/13/1976 Margraf 4,292,965 10/06/1981 Nash et al. 4/10/1984 4,441;216 lonescu et al. 4,503,569 3/12/1985 11/19/1985 4,553,545 Maass et al. 4,580,568 Gianturco 4/8/1986 9/23/1986 4,613,665 Larm 4,655,771 Wallsten 4/07/1987 4,733,665 Palmaz 3/29/1988 4.739.762 Palmaz, J.C. 4/26/1988 4,776,337 Palmaz 10/11/1988 4,800,882 Gianturco 1/31/1989 4,856,516 8/15/1989 Hillstead

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	U.S. Patent Document				
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CTN		4,872,867	Joh	10/10/1989	
-		4,886,062	Wiktor	12/12/1989	
		4,907,336	Giantur∞	3/13/1990	
		4,916,193	Tang et al.	4/10/1990	
		4,954,126	Wallsten	9/4/1990	
		4,969,458	Wiktor	11/13/1990	
		4,990,131	Dardik	2/5/1991	-
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		4,994,071	MacGregor	2/19/1991	
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		5,041,100	Rowland, S.M. et al.	8/20/1991	
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		5,053,048	Pinchuk	10/01/1991	
		5,061,275	Wallsten et al.	10/29/1991	
		5,061,750	Feijen, J. et al.	10/29/1991	
V		5,064,435	Porter	11/12/1991	

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Application Number	10/829.044
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First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

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Examiner Initials	Cite No.1			Code ² (nown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages o relevant figures appear
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		5,102,417		Palmaz	4/7/1992		
		5,104,404		Wolff	4/14/1992		
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		5,135,536		Hillstead	8/4/1992		
		5,163,952	•	Froix	11/17/1992		
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		5,171,262		MacGregor	12/15/1992		
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		5,178,618		Kandarpa .	1/12/1993		
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		5,182,317		Winters et al.	1/26/1993		
		5,185,408		Tang et al.	2-09/1993		
		5,192,307 ·		Wall	3/9/1993		
		5,195,984	Sec.	Shalz.	3/23/1993		
		5,213,576		Abiuso, C.L. et al.	5/25/1993		
		5,217,483	· · · · · · · · · · · · · · · · · · ·	Tower	6/8/1993		
4		5,222,971		Willard et al.	6/29/1993		

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	Application Number	10/829,044
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	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0933CIP

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.¹	l	d Code² known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	
CTN		5,226,913		Pinchuk	7/13/1993		
		5,234,456		Silvestrini, T.	8/10/1993		
		5,246,445		Yachia et al.	9/21/1993		
		5,258,020		Froix	11/02/1993		
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		5,262,451		Winters et al.	11/16/1993	·	
		5,266,073		Wall	11/30/1993		
	-	5,275,622		Lazarus et al.	1/4/1994		
		5,282,823		Schwartz et al.	2/1/1994		
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		5,288,711		Mitchell et al.	2/22/1994		
		5,290,305		Inoue	3/1/1994		
		5,292,331		Boneau	3/8/1994		
		5,292,802	,	Rhee et al.	3/08/1994		
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		5,308,862		Ohlstein, E.H.	5/3/1994		
		5,308,889		Rhee et al.	5/3/1994	·	
•		5,314,444		Gianturco	5/24/1994		

Date

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Sheet 5 of 19

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Application Number	10/829,044
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First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP
Group Art Unit Examiner Name	3743

		U.S. Patent Document				·	
Examiner Cite No.1	Cite No.¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	
CTN		5,314,472		Fontaine	5/24/1994		
		5,328,471		Slepian, M.J.	7/12/1994		
		5,334,301		Heinke et al.	8/2/1994	·	
		5,336,518		Pallassana, V.N. et al.	8/9/1994		
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		5,342,348		Kapian, A.	8/30/1994		
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CTN		5,382,261		Palmaz	1/17/1995	
. 1		5,383,928		Scott et al.	1/24/1995	
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1/		5,441,947		Dodge, J.A. et al.	8/15/1995	

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V		5,551,954		Buscemi, P.J. et al.	9/3/1996		

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CTN		5,554,182		Dinh et al.	9/10/1996	
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		5,571,166		Dinh et al.	11/5/1996	
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		5,591,140		Narayanan, P.V. et al.	1/7/1997	
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1		5.603.722		Phan et al.	2/18/1997	,

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*EYAMINED: In	itial if reference consi	dered whether or	ot citation is in conform.	ance with MPEP 609. Draw line	through citation if n	dt in conformance	and

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Sheet 9 of 19

Application Number	40/920 044
Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

	1	U.S. Patent Doc	ument			
Examiner Initials	Cite No. ¹		Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages o relevant figures appear
CT		5,605,696		Eury et al.	2/25/1997	
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		5,607,475		Cahalan et al.	3/4/1997	
		5,609,629		Fearnot et al.	3/11/1997	*
		5,620,984		Bianco, J.A. et al.	4/15/1997	
		5,621,102		Bianco, J.A. et al.	4/15/1997	
-		5,622,975		Singh, J.P. et al.	4/22/1997	
		5,624,411		Tuch, R.	4/29/1997	
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	•	5,632;763		Glastra, H.	5/27/1997	
		5,632,840		Campbell, P.	5/27/1997	
		5,637,113		Tartaglia et al.	6/10/1997	
		5,643,312		Fischell et al.	7/1/1997	
		5,643,939		Ohlstein, E.H.	7/1/1997	
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4.0		5,648,357		Bian∞, J.A. et al.	7/15/1997	
·		5,649,952		Lam	7/22/1997	
		5,649,977		Campbell, P.K.	7/22/1997	
1/		5,651,174		Schwartz, R.S. et al.	7/29/1997	

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Sheet 10 of 19

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	Application Number	10/829,044
ı	Filing Date	4/21/2004
	First Named Inventor	Robert Falotico
	Group Art Unit	3743
	Examiner Name	
	Attorney Docket Number	CRD0933CIP

•			Sileet	0 01 13	U.S. PATENT DOCUMENTS			
	T	-	U.S. Patent Docum	ient				
Examiner Initials		Cite No.1				Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages of relevant figures appear
CT	7		5,652,243		Bianco, J.A. et al.	7/29/1997		
-			5,653,992		Bezwada, R.S. et al.	8/5/1997		
		•	5,662,609		Slepian, M.J.	9/2/1997		
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			5,679,400		Tuch, R.	10/21/1997		
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			5,693,085	,	Buirge et al.	12/2/1997	·	
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			5,697,971		Fischell et al.	12/16/1997		
			5,700,286		Tartaglia et al.	12/23/1997	·	
			5,707,385		Williams, M.	1/13/1998		
			5,709,874		Hanson, S.R. et al.	1/20/1998		
			5,725,549		Lam, S.	3/10/1998		
			5,725,567		Wolff et al.	3/10/1998		
			5,728,150		McDonald et al.	3/17/1998		
1/	/		5.728.420		Keogh, J.	3/17/1998		

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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

		U.S. Patent Doc	ument			
Examiner Initials	Cite No. ¹			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
CTN		5,731,326		Hart, C.E. et al.	3/24/1998	
		5,733,327		Igaki et al.	3/31/1998	
		5,733,920		Mansuri, M.M. et al.	3/31/1998	
		5,733,925		Kunz, L.L. et al.	3/31/1998	
		5,735,897		Buirge, A.	4//7/1998	
		5,739,138		Bianco, J.A. et al.	4/14/1998	
		5,755,734		Richter et al.	5/26/1998	
		5,769,883		Buscemi, P.J. et al.	6/23/1998	-
		5,776,184		Tuch, R.J.	7/7/1998	
		5,780,476		Underiner, G.E. et al.	7/14/1998	
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		5,792,772		Bianco, J.A. et al.	8/11/1998	·
		5,798,372		Davies, P.A. et al.	8/25/1998	
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		5,807,861		Klein, J.P. et al.	9/15/1998	ľ.
\mathbf{V}		5,811,447		Kunz, L.L. et al.	9/22/1998	

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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP
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		U.S. Patent Doc	ument	U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.¹	Cite Kind Code ²		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
CTN		5,820,917		Tuch, R.	10/13/1998	
		5,820,918		Ronan et al.	10/13/1998	
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		5,851,217		Wolff et al.	12/22/1998	
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		5,861,027		Trapp, R.	1/19/1999	
		5,865,814		Tuch, R.	2/2/1999	
		5,871,535		Wolff et al.	2/16/1999	
		5,873,904		Ragheb, A.O. et al.	2/23/1999	
V		5,876,433		Lunn, A.C.	3/2/1999	

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Sheet 13 of 19

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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

	T	U.S. Patent Docum	nent	U.S. PATENT DOCUMENTS	1	
Examiner Initials	ner Cite Kind Code ²		Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
CTN		5,879,697		Ding et al.	3/9/1999	
		5,882,335		Leone et al.	3/16/1999	
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	,	5,900,246		Lambert, T.L.	5/4/1999	·
		5,902,266		Leone, J.E. et al.	5/11/1999	
		5,951,586		Berg, E.P. et al.	9/14/1999	
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		5,972,027		Johnson, M.W.	10/26/1999	
		5,976,534		Hart, C.E. et al.	11/2/1999	
		5,977,163		Li, C. et al.	11/2/1999	
		5,980,553	·	Gray, L.B. et al.	11/9/1999	
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		5,985,307		Hanson, S.R. et al.	11/16/1999	
		5,997,468		Wolff, R.G. et al.	12/7/1999	
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		6,039,721		Johnson, K. et al.	3/21/2000 .	
		6,059,813	·	Vrba, A.C. et al.	5/9/2000	·
V		6,071,305		Brown, J.E. et al.	6/6/2000	

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*EXAMINER: Initial if reference considered.	hethe	or not citation is in conformance with MPEP 609	Draw line through diatic	on has engaged in conformance and no
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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP
	1

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No. ¹	U.S. Patent Doci	wment Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages of relevant figures appear
CTN		6,074,659		Kunz, L.L. et al.	6/13/2000	
		6,080,190		Schwartz, R.S.	6/27/2000	
		6,096,070		Ragheb, A.O. et al.	8/1/2000	
		6,120,536		Dinge et al.	-9/19/2000	
		6,136,798		Cody, W.L., et al.	10/24/2000	
		6,140,127		Sprague, E.A.	10/31/2000	
		6,146,358		Rowe, S.J.	11/14/2000	
		6,153,252		Hossainy, S.F. et al.	11/28/2000	
		6,171,232		Papandreou, G. et al.	1/9/2001	
		6,171,609		Kunz, L.L.	1/9/2001	·
		6,177,272		Nabel, G.J. et al.	1/23/2001	
		6,214,901	B1	Chudzik et al.	4/10/2001	
		6,240,616		Yan, J.Y.	6/5/2001	
		6,254,632		Wu, S.Z. et al.	7/3/2001	·
		6,258,121	B1	Yang et al.	7/10/2001	
		6,268,390		Kunz, L.L.	7/31/2001	
		6,273,913		Wright, C. et al.	8/14/2001	
V		6,287,320		Slepian, M.J.	9/11/2001	·

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Sheet 15 of 19

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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

		U.S. Patent Doc	ument			
Examiner Initials	Cite Kind Code ² Number (if known) 6,287,628	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages o relevant figures appear		
CTN		6,287,628		Hossainy, S. F. et al.	9/11/2001	
		6,299,604	. B1	Ragheb et al.	10/09/2001	
		6,306,166	B1	Barry et al.	10/23/2001	
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	,	6,306,421		Kunz, L.L. et al.	10/23/2001	
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		6,309,660	B1	Hsu et al.	10/30/2001	·
V		6,313,264		Caggiano, T.J. et al.	11/6/2001	
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*EXAMINER: Initial if reference considered, w	hether or not citation is in conformance with MPEP 609.	Draw line through citation if	not in conformance and not
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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

				FO	REIGN PATENT DOCUMENTS			
Examiner Initials	Cite	Foreign Office ³	Patent Documer Number ⁴ Kir	nt ndCode⁵	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	ಗ್
CIN		DE	3205942	A1	Vasilev, Ljubomir, Dr.	9/8/1983		√
		EP	0 540 290	A2	Advanced Cardiovascular Systems, Inc.	10/27/1992		
		EP	0 568 310	A1	American Home Products Corp.	11/3/1993		
		EP	0 604 022	A1	Advanced Cardiovascular Systems, Inc.	6/29/1994		
		EP	0 621 015	A1	Schneider Europ AG (CN)	10/26/1994		
		EP	0 623 354	A1	Medtronic, Inc.	11/9/1994		Γ
		EP	0 712 615	A1	Advanced Cardiovascular Systems Inc.	5/22/1996 .		
		EP	0 716 836	A1	Advanced Cardiovascular Systems Inc.	6/19/1996		
	,	EΡ	0 734 698	A2	Variomed AG	3/28/1996	• .	
		EP	0 734 721	A1	Advanced Cardiovascular System, Inc.	10/2/1996	·	
		EP	0 761 251	A1	Kabushikikaisha Igaki Iryo Sekkei	3/12/1997		
		EP	0 800 801	A1	Advanced Cardiovascular Systems, Inc.	8/1/1996		
		EP	0 830 853	A1	Medinol Ltd.	7/3/1997		
		EP	0 850 651	A2	Schneider Inc.	7/1/1998		
1/		EP	0 938 878	А3	Rakos, et al.	9/1/1999		

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First Named Inventor	Robert Falotico
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Examiner Name	·
Attorney Docket Number	CRD0933CIP

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Foreign Patent Document Examiner Cite Initials			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	τ°		
IIII.	No.1	Office ³	Number ⁴ Kii	ndCode ⁵			inguires appear	<u> </u>
CTN		FR	0 566 807	A1	Yarrow, Harold Edgar	4/24/1992		√
		GB	0 662 307	A2	Frank B. Dehn & Co.	12/28/1994		
		wo	00/27445	A1	SCIMED Life Systems, Inc.			
		wo	01/87376	A1	Cordis Corporation	11/22/2001		
		wo	91/12779·	A1	Medtronic, Inc.	9/5/1991		
		wo	92/15286	A1	Shikani Alain – Nova Pharm	9/17/1992		
		wo	94/01056	A1	Boston Scient Corp	1/20/1994		
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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Application Number	10/829,044
Filing Date	4/21/2004
First Named Inventor	Robert Falotico
Group Art Unit	3743
Examiner Name	
Attorney Docket Number	CRD0933CIP

				FO	REIGN PATENT DOCUMENTS			
Examiner Cite	Foreign Patent Document		Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant			
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plays a valid OMB control number.
10/829,044
4/21/2004
Robert Falotico
3743
CRD0933CIP

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
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Page 1 of 1

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Examiner Name	
Attorney Docket Number	CRD0933CIP

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